

Separable Subsurface Scattering

Supplementary Materials

Contents This is the supplementary material for the paper *Separable Subsurface Scattering*. It includes:

- The present document.
- A document including the plots of the approximation kernels, compared against the actual kernel.
- The main Supplementary Video.

This document includes the following sections:

- **B Detailed explanation of the Low-Rank Approximation**
- **C Derivation of our Pre-integrated Kernel**
- **D Notes on the Guided Optimization**
- **E Detailed description of the shader implementation**
- **F Parameters of the profile simulations**
- **G Plots of the simulated diffusion profiles**

We also include additional rendering results: Figure S.2 and S.5 show a comparison of all kernel approximations for human skin, using the head model as well as artificial 'dot' illumination, respectively. An additional example rendering of fruits on a plate is shown in Figure S.4. And a second head model rendered from multiple distances is included in Figure S.8, while Figure S.6 and S.7 illustrate the benefits of the proposed jittered sampling approach.

B Low-rank approximations

In order to accurately approximate the diffuse reflectance profile $R_d(x, y)$ with $A(x, y) = \sum_{i=1}^N a_i(x)a_i(y)$, the sum of a few separable kernels, an adequate choice is required of the individual 1D functions a_i . d'Eon and colleagues [dLE07] observed that zero-mean Gaussians G are suitable for this task, i.e.,

$$R_d(x, y) \approx A_g(x, y) = \sum_{i=1}^N w_i G(x, y; \tau_i), \quad (\text{S.1})$$

where τ_i denote the variances of the respective Gaussians. Due to the separability of the Gaussian kernel, the convolution with A_g can be realized as $2N$ 1D convolutions. During the computation of adequate w_i 's and τ_i 's, d'Eon et al. employed an \mathcal{L}_1 constraint such that $\|A_g\|_1 = \|R_d\|_1$, which guarantees energy conservation of the approximation A_g . Furthermore, the Gaussian kernel is spherically symmetric and thus A_g also exhibits this feature of the original profile.

We found, however, that emphasizing the closeness criterion and forfeiting radial symmetry yields approximations that produce the same visual quality with less convolutions, i.e., with lower complexity (see Figure S.5). Inspired by previous methods in low-rank approximations of reflectance data [LRR04, PvBM*06, KM99] we employ matrix factorization of the *discrete* 2D diffusion profile $R_d \in \mathbb{R}^{m \times m}$. Following from the Eckhart-Young theorem [EY36], a truncation of the singular value decomposition (SVD) of R_d gives the best low-rank approximation with respect to the Frobenius norm. In more detail, we have for the SVD of the diffusion profile

$$\begin{aligned} R_d &= U \Sigma V^T, \\ U &= \left(u^{(1)} | u^{(2)} | \dots | u^{(m)} \right), \\ V &= \left(v^{(1)} | v^{(2)} | \dots | v^{(m)} \right), \\ \Sigma &= \text{diag}(\sigma_1, \sigma_2, \dots, \sigma_m), \end{aligned} \quad (\text{S.2})$$

that the exact solution to the approximation problem

$$\begin{aligned} \min_A \|R_d - A\|_F, \\ \text{subject to } \text{rank}(A) = N, \end{aligned} \quad (\text{S.3})$$

is given by

$$\begin{aligned} A_s &= U \Sigma_N V^T, \\ \text{where } \Sigma_N &= \text{diag}(\sigma_1, \dots, \sigma_N, 0, \dots, 0). \end{aligned} \quad (\text{S.4})$$

The Frobenius norm follows the classical definition, i.e., $\|A\|_F = \sqrt{\sum_{i=1}^m \sum_{j=1}^m a_{ij}^2} = \sqrt{\sum_{i=1}^m \sigma_i^2}$ and corresponds to the \mathcal{L}_2 norm for continuous 2D functions. Note that Σ_N is a diagonal matrix and the approximation A_s can be written as a sum of separable kernels, i.e., $A_s = \sum_{i=1}^N u^{(i)} \sigma_i v^{(i)T}$. While this approach does not preserve spherical symmetry and energy conservation, it provides optimal closeness in the sense of the \mathcal{L}_2 norm.

For low-rank approximations, i.e., $N = 3$ to 6 , the diffusion profile is more faithfully reconstructed and the violation of both spherical symmetry and the \mathcal{L}_1 norm are not perceivable. Energy conservation can be enforced by scaling the approximation A_s according to $\tilde{A}_s = A_s \frac{\|R_d\|_1}{\|A_s\|_1}$. Although the new approximation \tilde{A}_s is not optimal in the \mathcal{L}_2 sense, it still provides a perceptually better match than the Gaussian approximation A_g with the same number of terms. Thus, our SVD-based approximation scheme yields better performance at the same visual quality or superior visual quality at the same number of 1D convolutions when compared to the Gaussian approximation (see Figure S.5).

C Derivation of the pre-integrated approximation

Due to the non-separability of realistic diffusion profiles, it is not possible to fully reconstruct the effect of their convolution with 2D signals by a single separable kernel as they exhibit (close to) maximal rank. It is, however, possible to completely reproduce a profile's behavior on a special class of signals. Assuming that the irradiance is additively separable, i.e., $E(x, y) = E_1(x) + E_2(y)$ or, equivalently, $\frac{\partial E}{\partial x \partial y} = \frac{\partial E}{\partial y \partial x} = 0$, the radiant exitance M_e is given as follows:

$$\begin{aligned} M_e(x, y) &= \iint E(x', y') R_d(x - x', y - y') dx' dy' \\ &= \iint (E_1(x') + E_2(y')) R_d(x - x', y - y') dx' dy' \\ &= \int E_1(x') \underbrace{\int R_d(x - x', y - y') dy'}_{a_p(x-x')} dx' \\ &\quad + \int E_2(y') \underbrace{\int R_d(x - x', y - y') dx'}_{a_p(y-y')} dy' \\ &= \int E_1(x') a_P(x - x') \underbrace{\frac{1}{\|a_p\|_1} \int a_p(y - y') dy'}_{=1} dx' \\ &\quad + \int E_2(y') a_P(y - y') \underbrace{\frac{1}{\|a_p\|_1} \int a_p(x - x') dx'}_{=1} dy' \\ &= \iint E(x', y') \frac{1}{\|a_P\|_1} a_p(x - x') a_p(y - y') dx' dy', \end{aligned} \quad (\text{S.5})$$

where a_p denotes the pre-integrated one-dimensional approximation of R_d along a coordinate axis. Due to radial symmetry of R_d , we have $a_p(x) = a_p(y)$, where $\|a_p\|_1 = \|R_d\|_1$ by definition. Hence, we define the pre-integrated approximation A_p of the diffusion profile as

$$A_p(x, y) = \frac{1}{\|R_d\|_1} a_p(x) a_p(y). \quad (\text{S.6})$$

Note that A_p reproduces the *exact* 2D convolution with R_d in the presence of additively separable irradiance signals, such as linear shadow boundaries of arbitrary orientation or arbitrary axis-aligned 1D functions.

D Notes on the guided rank-1 approximations

Motivated by the high quality of the pre-integrated approximation, we tried to reproduce this behavior as part of the guided approximation framework. The goal with this approach is to allow the user to emphasize either near or far scattering by manipulating only a single parameter. For a default parameter, the output should provide a ‘neutral’ approximation close to the analytically derived pre-integrated approximation.

Stating the associated optimization problem as

$$\begin{aligned} a_s = \operatorname{argmin}_a \int_{\mathbb{R}^2} \Gamma(x, y) (R_d(x, y) - a(x)a(y))^2 dx dy \\ \text{subject to } \|R_d\|_1 = \|a\|_1^2, \end{aligned} \quad (\text{S.7})$$

we derive a separable approximation $A_s(x, y) = a_s(x)a_s(y)$ to the diffuse reflectance profile $R_d(x, y)$. The *guide function* $\Gamma(x, y)$ provides the means to alter the result to provide the desired artistic effect. Since an arbitrary guide function would exhibit a huge amount of degrees of freedom, we aim to restrict its shape to a one-dimensional subspace that serves the intended effect of pronouncing either near or far scattering.

As a starting point we tried to determine the guide function that would reproduce the pre-integrated approximation, i.e., we determined a guide function $\Gamma_p(x, y)$ such that

$$\begin{aligned} a_p = \operatorname{argmin}_a \int_{\mathbb{R}^2} \Gamma_p(x, y) (R_d(x, y) - a(x)a(y))^2 dx dy \\ \text{subject to } \|R_d\|_1 = \|a\|_1^2, \end{aligned} \quad (\text{S.8})$$

where a_p is the pre-integrated approximation of the previous Section C. As can be seen in Figure S.1a, such a guide function exhibits a rather complicated structure. To reduce the associated complexity of the guide function down to a single parameter, we defined a parametrized guide function

$$\Gamma(x, y; k) = (x^2 + y^2)^{k/2} (1 - e^{-bx^2})(1 - e^{-by^2}). \quad (\text{S.9})$$

The radially symmetric polynomial term with exponent k serves as external parameter to either emphasize near or far scattering. A depiction of this function can be found in Figure S.1b for $k = 1.55$. Note that this polynomial term reproduces the behavior of $\Gamma_p(x, y)$ on the diagonals. To reproduce the shape of Γ_p along the coordinate axis we add ‘suppression’ functions in the form of $1 - e^{-bx^2}$ and $1 - e^{-by^2}$ that cause the guide function to vanish close to the coordinate axis. We chose $b = 50$ (assuming that $x, y \in [-1, 1]$) and the whole framework is insensitive to moderate changes of this parameter. Varying the parameter k in the range from 0 to 4 allows the user to generate a separable approximation (as a result of Equation S.7) that emphasize near scattering $k = 0$ or far scattering $k = 4$. Note that no appreciable visual effects are observable beyond $k = 4$. We have used sequential quadratic programming to minimize Equation S.7. To avoid taking on a high-dimensional optimization problem immediately, we solve Equation S.7 for low-resolution versions of the final kernel. Each optimization is then initialized with an interpolation of the solution to the next-lower resolution.

E Shader Implementation Details

As our technique works in screen-space, the size of the kernel is a function of the projected surface area in the pixel, which depends on depth and surface orientation. This area is typically specified in world-space units instead of pixels, making the definition of the kernel size more intuitive for artists. When using a discretized kernel, the effect of the surface orientation can be taken into account by using ad-hoc correction factors [JSG09].

In contrast, using the simplified two-Gaussian artist-friendly model A_m allows us to work on real-world distances: we transform the depth of the pixel being evaluated and of each sample to world-space, and then calculate the distance d between them. This distance is used to apply the profile on the fly, yielding more accurate results than using derivatives or ad-hoc correction factors. However, this approach has two problems: (1) we cannot accurately bake the kernel weights by evaluating the area covered by each sample (so we can only evaluate the kernel in the sample position), and (2) the number slots per sample used by the GPU (as generated by DirectX 11 `fx`) increases from 16 in a simple ad-hoc correction technique to 28, almost halving the performance, making it useless in production scenarios. The latter problem is due to: a) converting from depth and pixel position to world-space require a few additional ALU instructions; b) evaluating a 2-Gaussian RGB profile requires 6 `exp` instructions, which are extremely costly to execute even on modern GPUs.

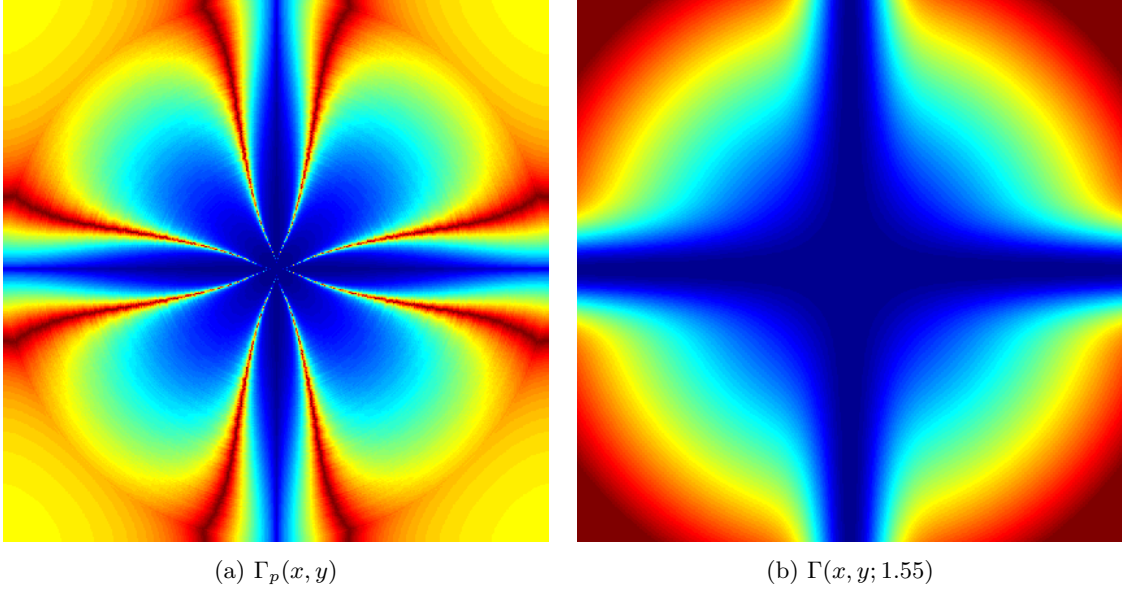


Figure S.1: Comparison of the guide function that reproduces the pre-integrated approximation (left) and our parametrized version that provides artistic control (right).

We solve this problem following an approach similar to the one proposed by Mikkelsen [Mik10], by splitting the 1D profile application on 3D distances d to 2D distances d_{xy} with an accurate depth d_z correction factor. This transforms the evaluation of the kernel as:

$$\begin{aligned}
a_m \left(\sqrt{d_{xy}^2 + d_z^2} \right) &= w G \left(\sqrt{d_{xy}^2 + d_z^2}, \tau_{near} \right) + (1 - w) G \left(\sqrt{d_{xy}^2 + d_z^2}, \tau_{far} \right) \\
&= w e^{\frac{-d_z^2}{2\tau_{near}}} G(d_{xy}, \tau_{near}) + (1 - w) e^{\frac{-d_z^2}{2\tau_{far}}} G(d_{xy}, \tau_{far}) \\
&\approx e^{\frac{-d_z^2}{2\tau_{max}}} \left(w G(d_{xy}, \tau_{near}) + (1 - w) G(d_{xy}, \tau_{far}) \right) \\
&= e^{\frac{-d_z^2}{2\tau_{max}}} a'_m(d_{xy}),
\end{aligned}$$

where $\tau_{max} = \max(\tau_{near}, \tau_{far})$. Note that we are making the approximation of taking the maximum variance of the Gaussians, which simplifies the profile application to an accurate depth correction, versus the ad-hoc corrections used in e.g. [JSG09]. This allows to (1) pre-compute accurate weights for $a'_m(d_{xy})$ using area integration, and reduce the number of instructions to 16 by: a) reducing the number of `exp` from 6 to a single one; b) avoiding the conversion to world-space by directly working with depths; c) applying typical low-level optimizations [Per14].

F Profile Simulation (MCML)

The diffuse reflectance profiles have been simulated using MCML [WJZ95] and the material parameters used for our simulations were taken from an earlier work [JMLH01]. For each material the RGB channels were simulated separately. Aside from the material properties, each channel used the same parameters specified as follows:

No. Photons: 10^7

Grid spacing: the minimum mean free path divided by 20 ($d_r = \frac{\min(MFP_{rgb})}{20}$).

No. of grid elements: 32 times the maximum mean free path divided by d_r ($n_r = \lceil \frac{32 * \max(MFP_{rgb})}{d_r} \rceil$).

Thickness: 10^7 cm (quasi-infinite).

The mean free path is computed as $\frac{1}{\sigma'_t} = \frac{1}{\sigma_a + \sigma'_s}$. As we model isotropic scattering ($g = 0$), the reduced scattering coefficient is trivial, i.e. $\sigma'_s = \sigma_s$. For the use with MCML all parameters are also converted to cm , cm^{-1} or cm^{-2} respectively. MCML simulates cylindrically symmetric tissue models, and outputs the diffuse reflectance profile as an 1D function, $R_d(r)$. Detailed material parameters, plots of the simulated profiles as well as the derived radially symmetric 2D profiles are included in Section G.

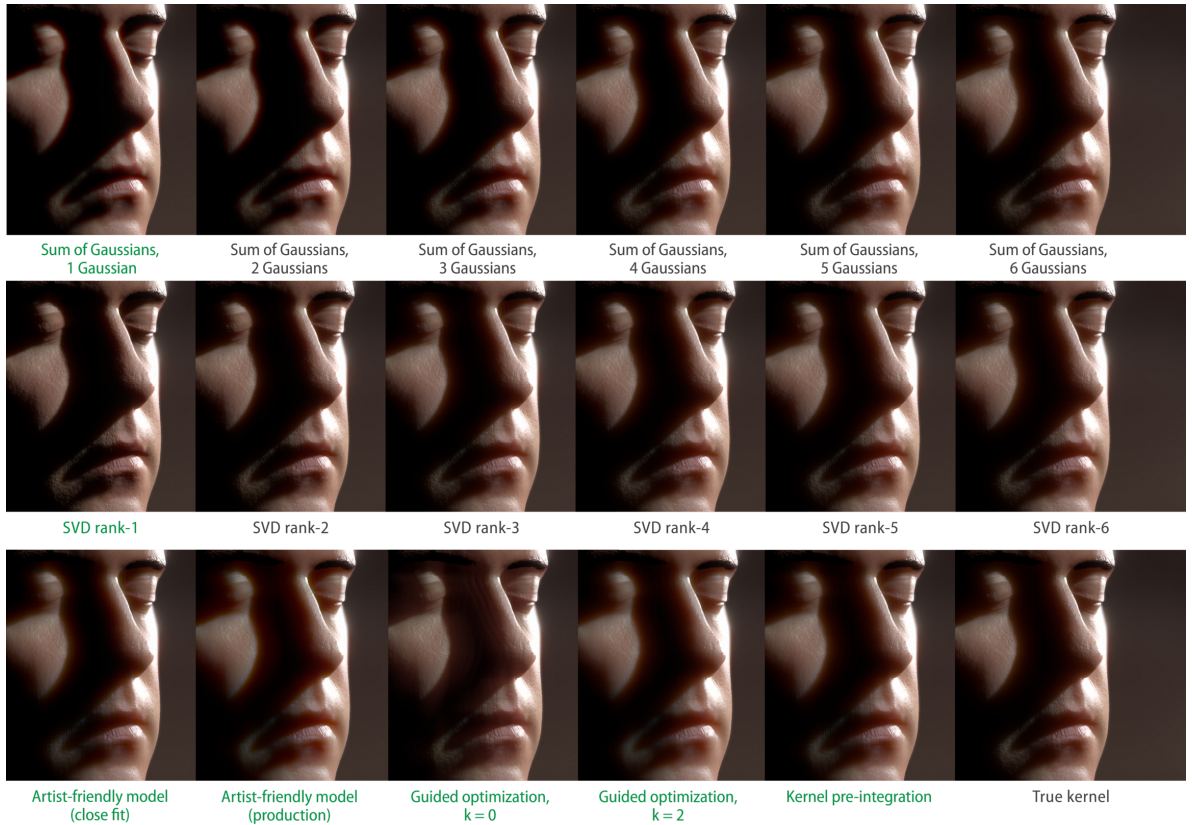


Figure S.2: Comparison of the different techniques proposed with d'Eon's method [dLE07] with six Gaussians, and the ground truth (actual 2D kernel). Note that our separable approximations lead to similar quality results with just two 1D convolutions, as opposed to the twelve needed by the sum of Gaussians approach. Note that all separable rank-1 kernels are highlighted with green.

G Simulation Parameters and Results

This section includes the properties of each measured material, simulated 1D profile plots and derived 2D profiles for each material. The 1D profiles shown in the first two subplots are weighted by $2\pi r$ because the magnitude of the profile at a certain r value represents the reflectance on a complete circle of the radially symmetric 2D profile, whose area increases as r is increasing. It is worth noting that although all three channels were simulated up to the same maximum radius, the 1D profile plot may falsely suggest otherwise. In the case of the logarithmic scale plots, all profile values near machine precision are omitted by the plot function. In the MFP plots, each channel radius is scaled by their respective MFP values, and therefore each channel has different support on the x axis. Additionally, all MFP plots show the unweighed profiles and the 2D plots visualize only the most significant center region.

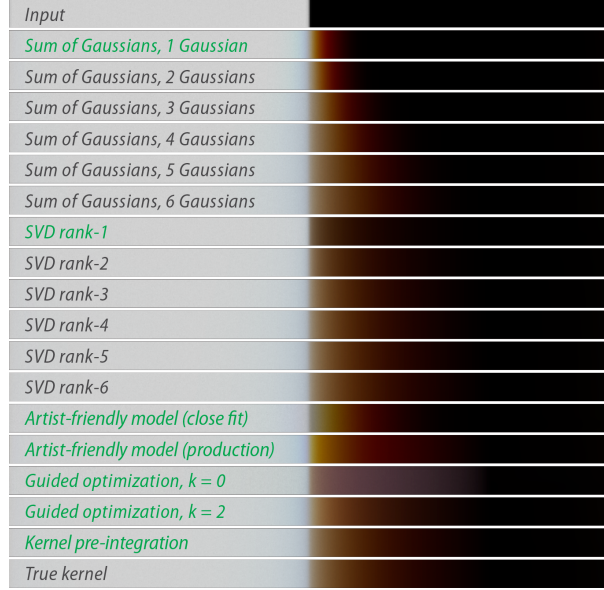


Figure S.3: Our results reveal that a SVD-based low-rank approximations scale better with the number of convolutions than the state of the art [dLE07], however, it still yields a coarse approximation of the true kernel in the separable case. Note that all separable rank-1 kernels are highlighted with green. The pre-integrated kernel is exact for axis-aligned functions, such as this example. The guided optimization with $k = 2$ provides a comparably good fit while the $k = 0$ case captures the fine details near the boundary at the expense of the far-range scattering quality. A manual approximation using our artist-friendly model provides a rich design space and in this case, perceptual similarity to the ground truth was the modeling objective. This is illustrated by two approximations, where for the first (close fit), perceptual similarity to the ground truth was the modeling objective, while the second (production) was tweaked by an artist for production purposes. Note that the image is best viewed in the electronic version of the paper. Additional 2D visualizations of the different kernels are provided in the second supplementary document, where we also demonstrate that the radial asymmetry of the SVD-based kernels vanishes rapidly with increasing rank.

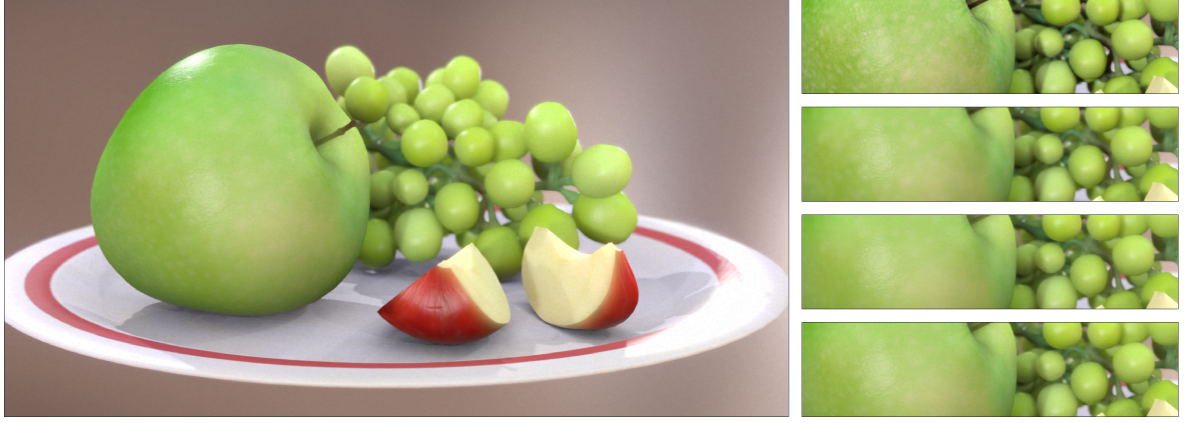


Figure S.4: Real-time results for *apple*. The insets show (from top to bottom) input irradiance, d'Eon et al. [dLE07] with 1 Gaussian, our analytic kernel pre-integration technique and the ground truth. Both d'Eon's with one Gaussian and ours are run with the same number of convolutions, thereby yielding similar execution times.

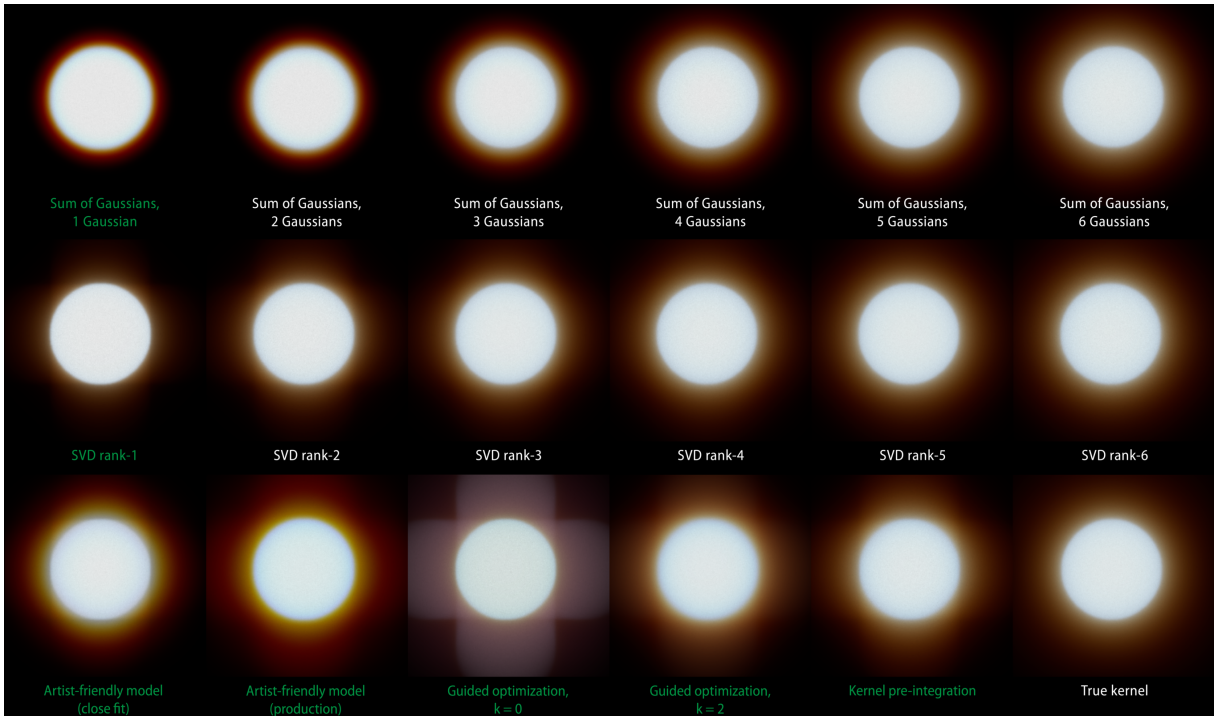


Figure S.5: Additional results reveal the inherent shape and quality of the separable and low-rank approximations on a white disk irradiance signal. Please note that, although, the radial asymmetry of our separable kernels, and the gradient-color differences of the manual approximations, are noticeable in case of the artificial 'dot' illumination, it is less noticeable in case of our practical rendering examples.

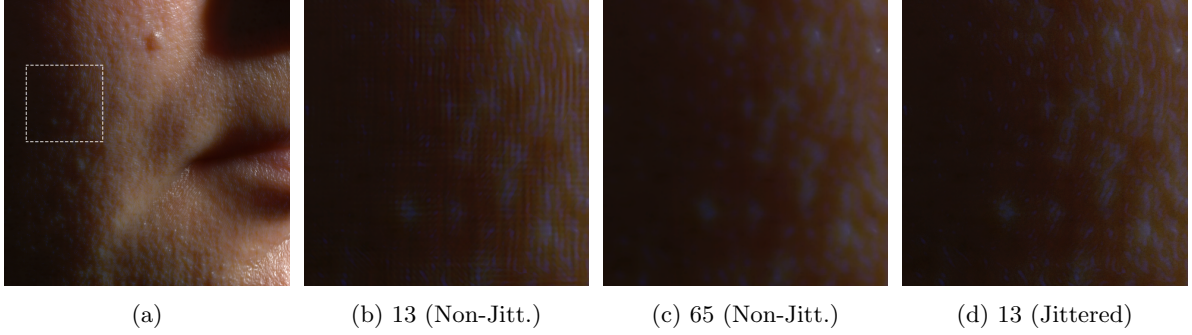


Figure S.6: In harsh lighting conditions, extreme close-ups may reveal artifacts even in the presence of importance sampling. a Initial image; b Importance sampling with 13 samples shows banding artifacts (please zoom in in the digital version for a better view); d Up 65 samples are needed to eliminate visible banding; c Our jittering approach also eliminates banding while keeping the sample count low (this image is best viewed in the digital version).

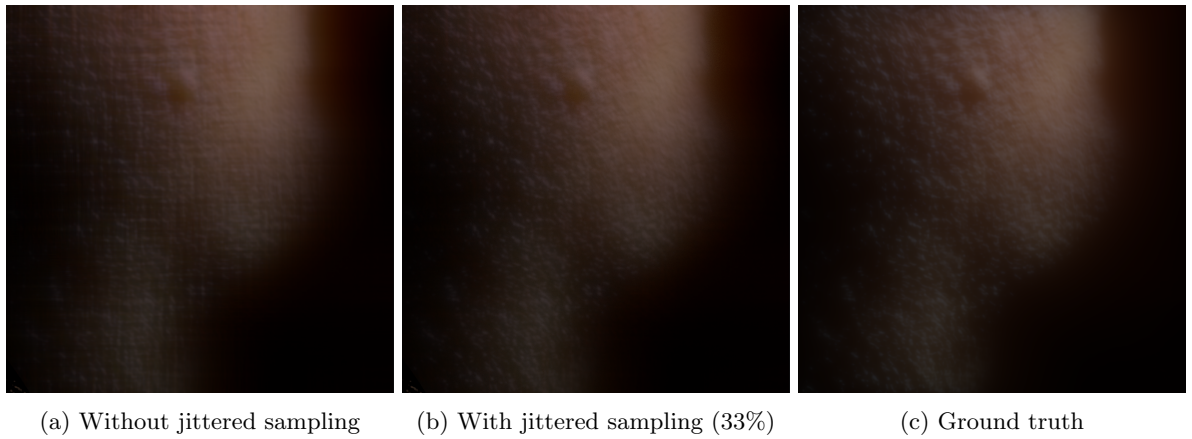


Figure S.7: This figure illustrates that our jittered sampling scheme is able to remove banding artifacts stemming from the radial asymmetry of our separable kernels. The images represent our manual approximation (close fit) of human skin, which shows visible artifacts if no jittering is used (a), but is able to approximate the ground truth (b) in a visually plausible way if 33% of the samples are jittered (c).



Figure S.8: Typical cases found in games, for which the technique is measured.

G.1 Apple

Apple	σ_a (mm^{-1}) [RGB]	σ_s (mm^{-1}) [RGB]	η	g	Thickness (cm)
Layer 1	[0.0030, 0.0034, 0.0460]	[2.2900, 2.3900, 1.9700]	1.3000	0.0000	10^7

Figure S.9: Apple - Scattering properties

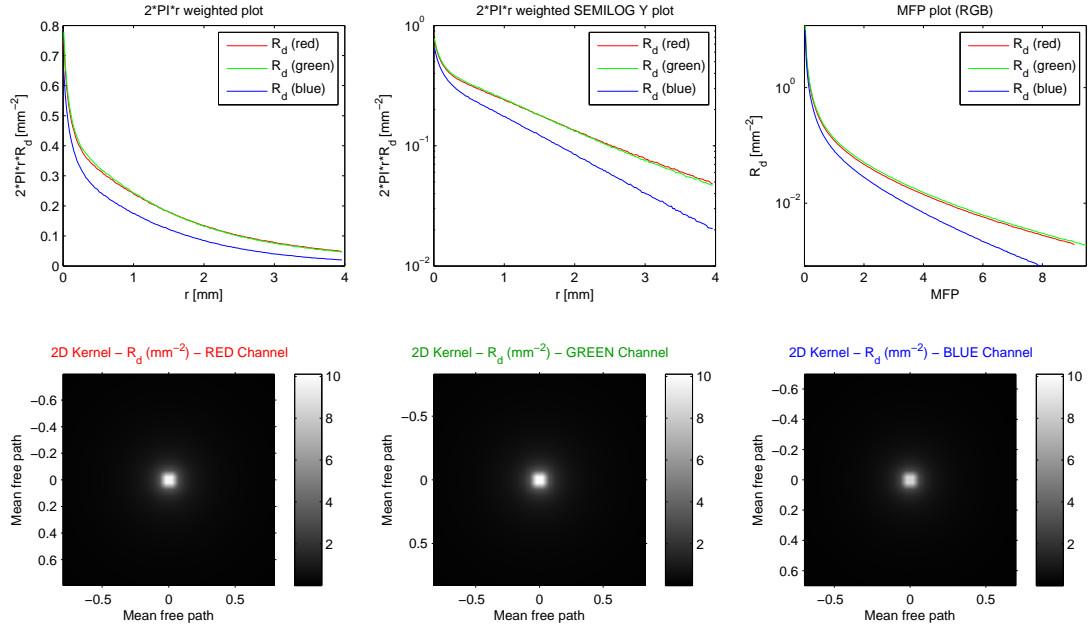


Figure S.10: Apple - Simulation

G.2 Chicken1

Chicken1	σ_a (mm^{-1}) [RGB]	σ_s (mm^{-1}) [RGB]	η	g	Thickness (cm)
Layer 1	[0.0150, 0.0770, 0.1900]	[0.1500, 0.2100, 0.3800]	1.3000	0.0000	10^7

Figure S.11: Chicken1 - Scattering properties

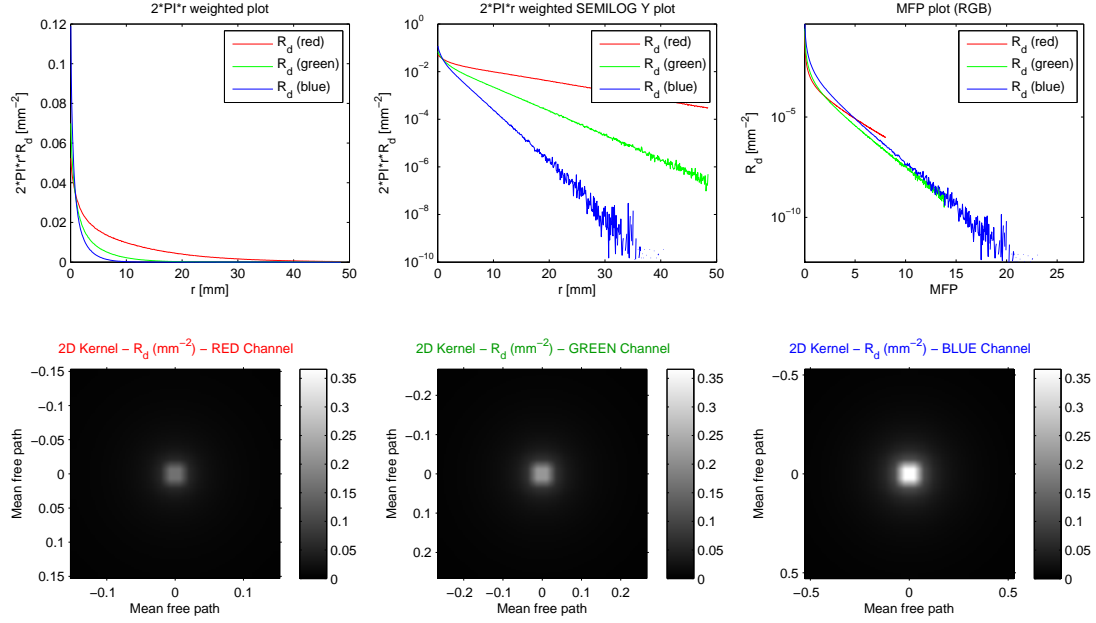


Figure S.12: Chicken1 - Simulation

G.3 Chicken2

Chicken2	σ_a (mm^{-1}) [RGB]	σ_s (mm^{-1}) [RGB]	η	g	Thickness (cm)
Layer 1	[0.0180, 0.0880, 0.2000]	[0.1900, 0.2500, 0.3200]	1.3000	0.0000	10^7

Figure S.13: Chicken2 - Scattering properties

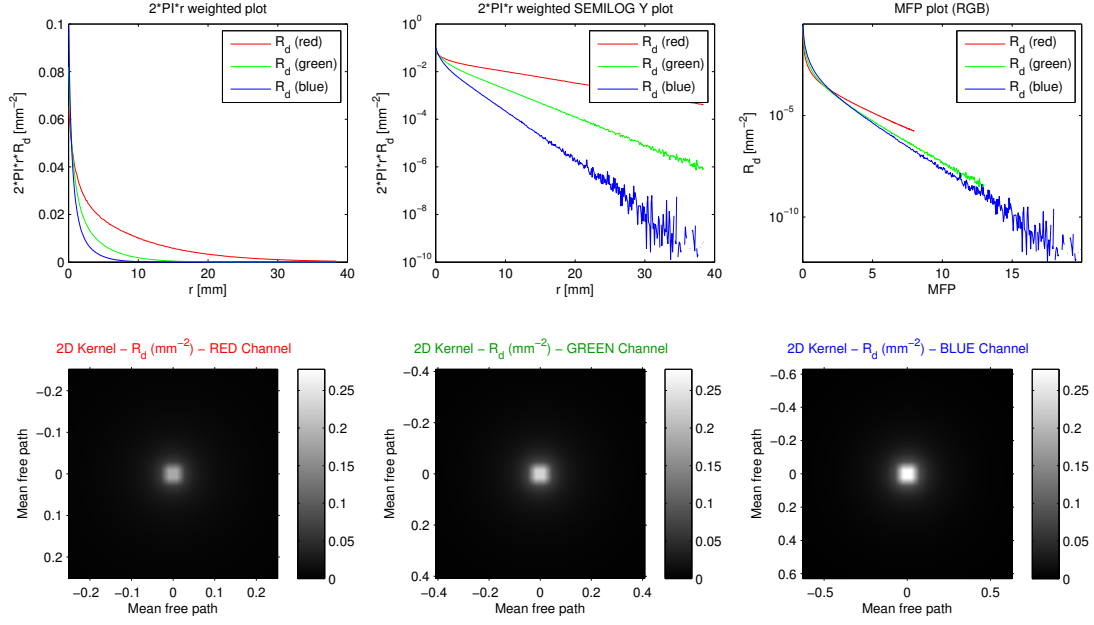


Figure S.14: Chicken2 - Simulation

G.4 Cream

Cream	σ_a (mm^{-1}) [RGB]	σ_s (mm^{-1}) [RGB]	η	g	Thickness (cm)
Layer 1	[0.0002, 0.0028, 0.0163]	[7.3800, 5.4700, 3.1500]	1.3000	0.0000	10^7

Figure S.15: Cream - Scattering properties

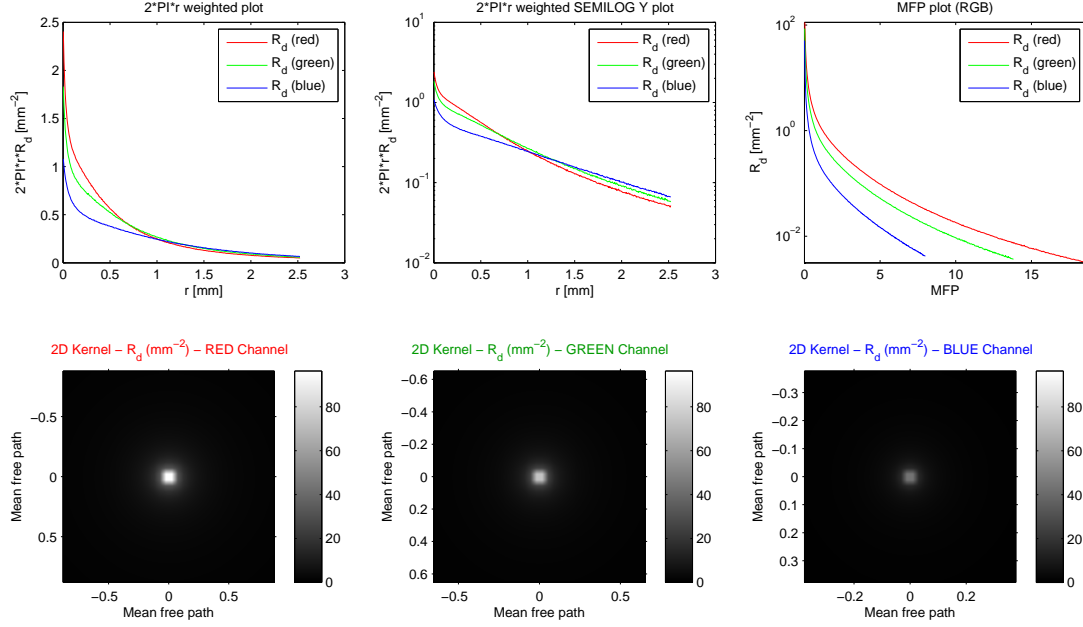


Figure S.16: Cream - Simulation

G.5 Ketchup

Ketchup	σ_a (mm^{-1}) [RGB]	σ_s (mm^{-1}) [RGB]	η	g	Thickness (cm)
Layer 1	[0.0610, 0.9700, 1.4500]	[0.1800, 0.0700, 0.0300]	1.3000	0.0000	10^7

Figure S.17: Ketchup - Scattering properties

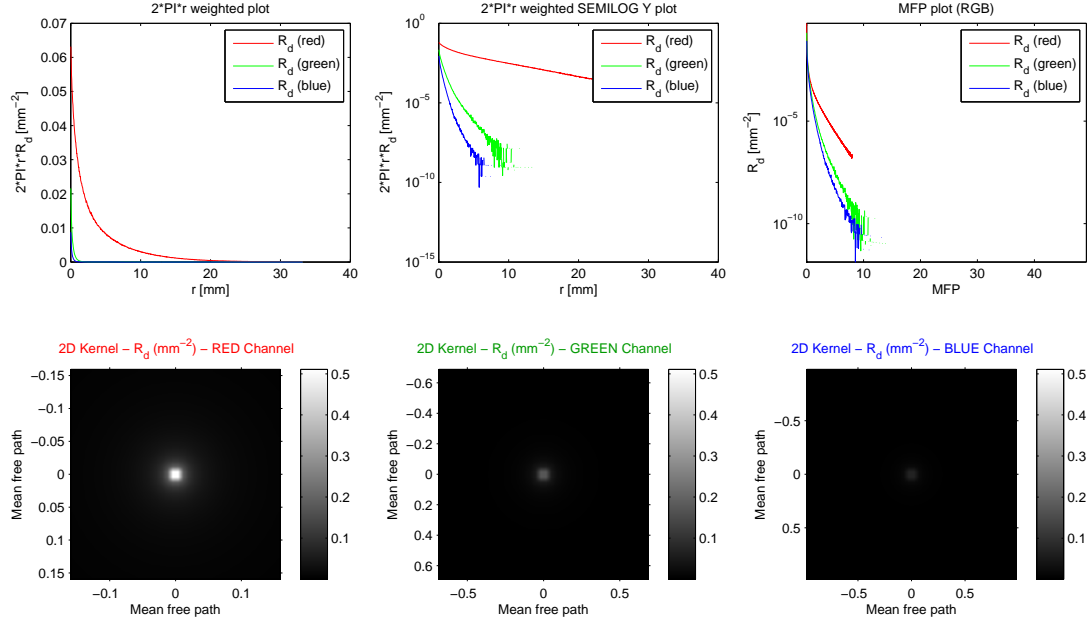


Figure S.18: Ketchup - Simulation

G.6 Marble

Marble	σ_a (mm^{-1}) [RGB]	σ_s (mm^{-1}) [RGB]	η	g	Thickness (cm)
Layer 1	[0.0021, 0.0041, 0.0071]	[2.1900, 2.6200, 3.0000]	1.5000	0.0000	10^7

Figure S.19: Marble - Scattering properties

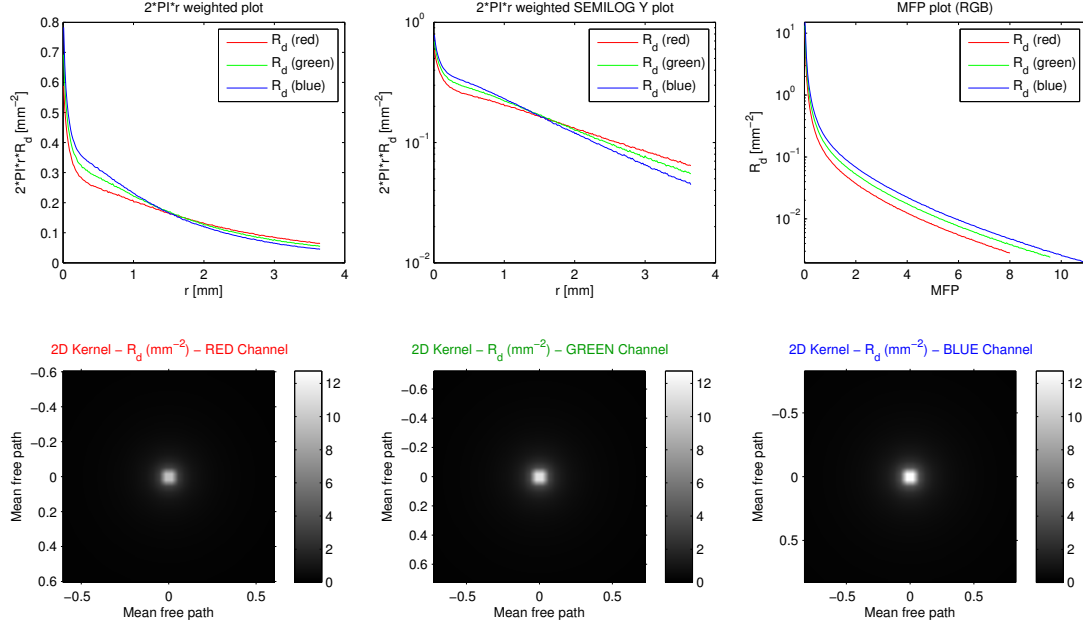


Figure S.20: Marble - Simulation

G.7 Potato

Potato	σ_a (mm^{-1}) [RGB]	σ_s (mm^{-1}) [RGB]	η	g	Thickness (cm)
Layer 1	[0.0024, 0.0090, 0.1200]	[0.6800, 0.7000, 0.5500]	1.3000	0.0000	10^7

Figure S.21: Potato - Scattering properties

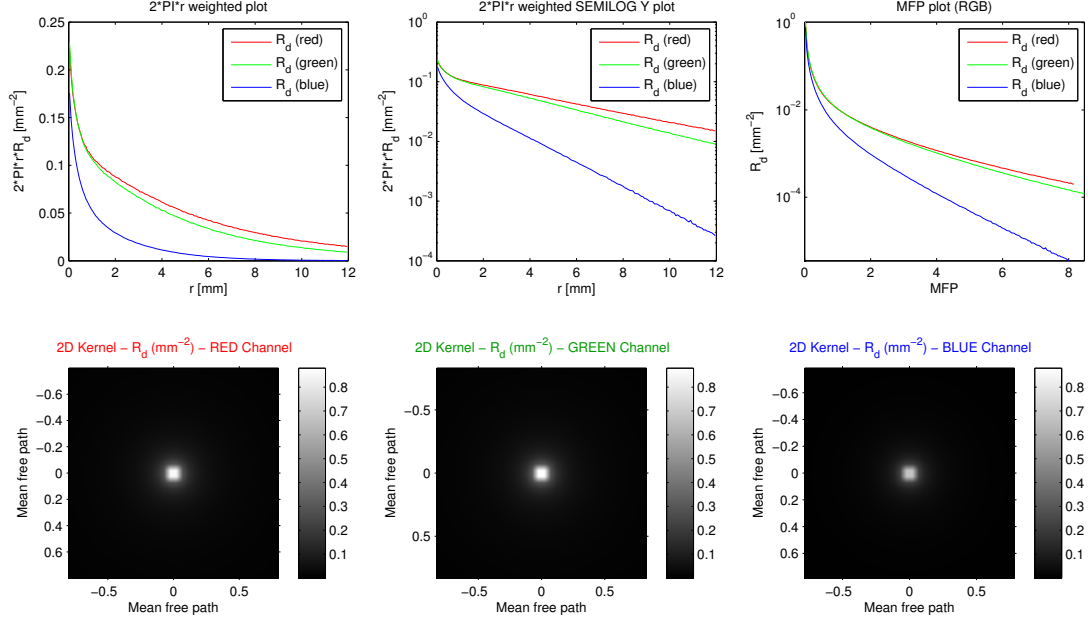


Figure S.22: Potato - Simulation

G.8 Skimmilk

Skimmilk	σ_a (mm^{-1}) [RGB]	σ_s (mm^{-1}) [RGB]	η	g	Thickness (cm)
Layer 1	[0.0014, 0.0025, 0.0142]	[0.7000, 1.2200, 1.9000]	1.3000	0.0000	10^7

Figure S.23: Skimmilk - Scattering properties

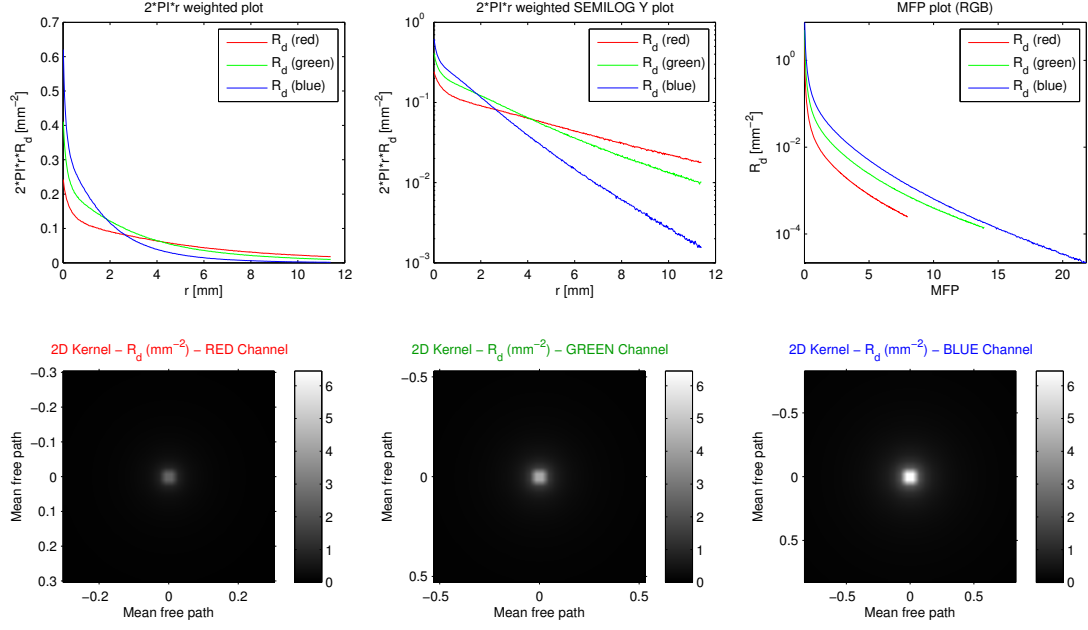


Figure S.24: Skimmilk - Simulation

G.9 Skin1

Skin1	σ_a (mm^{-1}) [RGB]	σ_s (mm^{-1}) [RGB]	η	g	Thickness (cm)
Layer 1	[0.0320, 0.1700, 0.4800]	[0.7400, 0.8800, 1.0100]	1.3000	0.0000	10^7

Figure S.25: Skin1 - Scattering properties

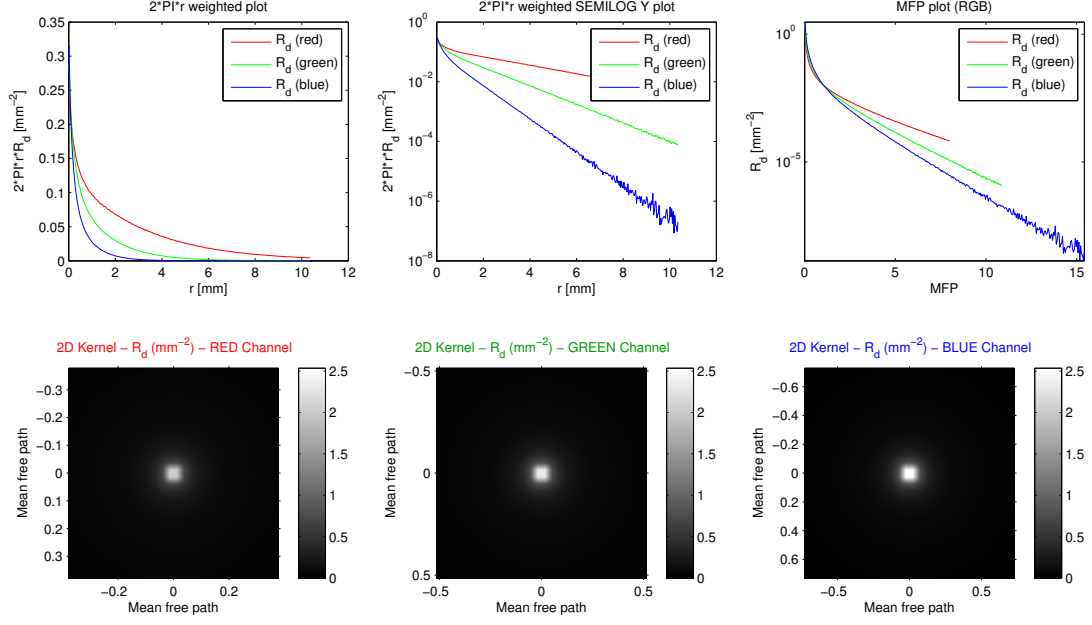


Figure S.26: Skin1 - Simulation

G.10 Skin2

Skin2	σ_a (mm^{-1}) [RGB]	σ_s (mm^{-1}) [RGB]	η	g	Thickness (cm)
Layer 1	[0.0130, 0.0700, 0.1450]	[1.0900, 1.5900, 1.7900]	1.3000	0.0000	10^7

Figure S.27: Skin2 - Scattering properties

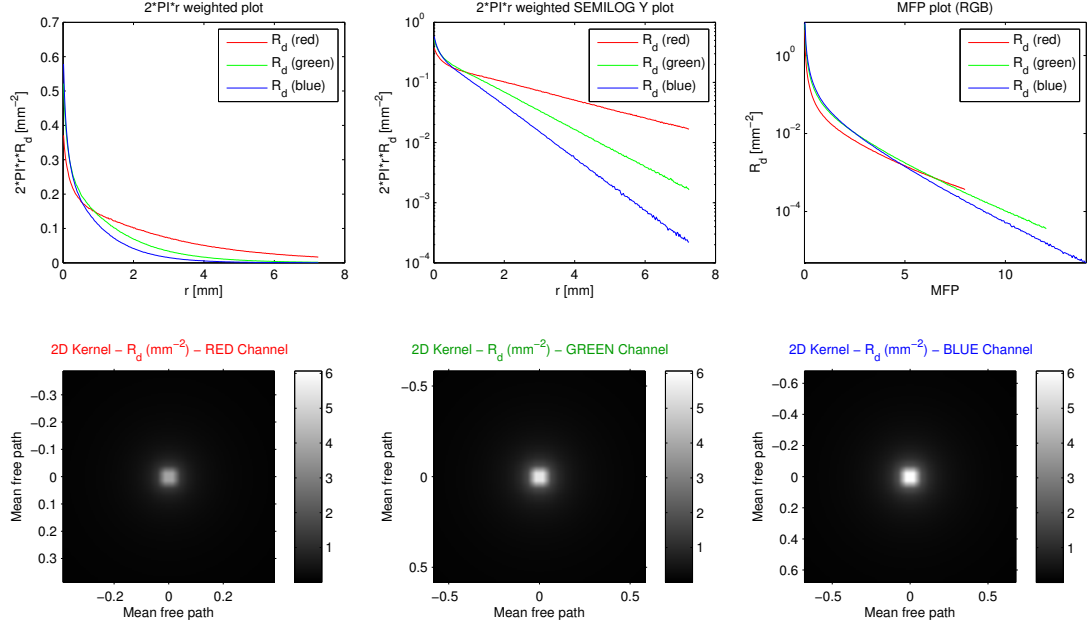


Figure S.28: Skin2 - Simulation

G.11 Wholemilk

Wholemilk	σ_a (mm^{-1}) [RGB]	σ_s (mm^{-1}) [RGB]	η	g	Thickness (cm)
Layer 1	[0.0011, 0.0024, 0.0140]	[2.5500, 3.2100, 3.7700]	1.3000	0.0000	10^7

Figure S.29: Wholemilk - Scattering properties

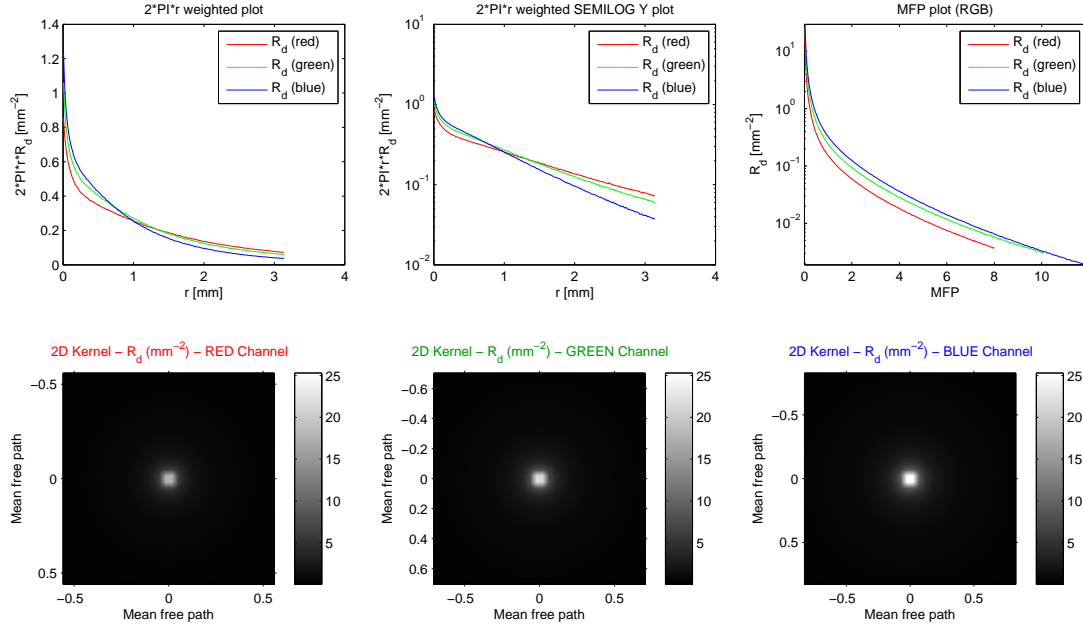


Figure S.30: Wholemilk - Simulation

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